Name of Listed Equity: GLOBAL FINANCE & SECURITIES LTD.

Scrip Code:

Shareholding Pattern Filed under: Reg. 31(1)(b) Quarter Ended: 30/09/2018

	Particulars	Yes	No
1	Whether the Listed Equity has issued any Partly Paid up shares?		No
2	Whether the Listed Equity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Equity has any shares against which Depository Receipts are issued?		No
4	Whether the Listed Equity has any shares in Locked-in?		No
5	Whether any shares held by Promoters are Pledge or otherwise encumbered?		No

TABLE I - SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES

ate Name of shareholders ory		No.of Fully Paid-up	No.of Partl	y No.of Share Underlying		Shareholding Calculated as			s held in each	class of sec			s Shareholding as % assuming full					l Number of Equity Shar
I	ders	Equity	Equity	Depository	Held	per SCRB,1957							conversion of					Held in der
I	1	shares Held	shares Held	Receipt	1	As a % of	No. o	f Voting Ri	phts	1						erialized		
Į.	1	Į.	1	1	1	(A+B+C2)				J			urities (as a %	Number	Asa%		Asa%	
	- 1	1	1	1		1	Class X	Class Y		Total as a			of diluted	I	of total	.	of total	L
I	ı	1	1	1	(VII) =	1	1	1	1	of total vo	ting	Warrants)	share capital)	I	shares	I	shares	1
(I) (II)	(III)	(IV)	(V)	(VI)	(IV) + (V) + (VI)	(VIII)	1	1	1	Rights	- 1	(X)	(XI) = (VII) + (X)	I	held	I	held	(XIV)
l l	1	1	1	1	1	1	1	1	1	1	- 1		as a % of	I		1	1	1
I	1	I	I	I	I	I	I	1	I	I	- 1		(A) + (B) + (C)	I		I	I	I
(A) Promoter & Promoter Group	1	5 220290	 0		2202900	44.05	6 220290	 0	2202900	4	4.056		44.056					1059700
(B) Public	334	7 279730	0		2797300	55.94	4 279730	0	2797300	5	5.944		55.944					596400
(C) Non Promoter - Non Public																		
(C1) Shares underlying DRs																		
(C2) Shares held by Employee Trusts																		
топат		2 500020			E000300	100 00	. E00030		E000200		0 000		100 000					1656100

gory	Name of shareholders	PAN 	Sharehol	Paid-up Equity	Paid-up Equity	y No.of Shares Underlying Depository	Shares Held	Calculated as per SCRB,1957	i I		IX)	class of securies	Underlying outstanding			hares	encumbere	otherwise d (XIII)	e Equity Share) Held in dema erialized
		-		snares Held	shares Held	Receipt		As a % of (A+B+C2)	l No. o	f Voting Ri	gnts			convertible sec		Asa%		As a %	
	(1)	 (II) 	i i	I I	 	 (VI) 	 (VII) = (VV) + (V) + (VI) 	 (AIII) 	Class X 	Class Y 		of total voting Rights	(X)	share capital) (XI)=(VII)+(X) as a % of (A)+(B)+(C)	 	of total	 	of tota shares held 	 (XIA)
(1) (a)	Indian Individuals/Hindu undivided : amily		13				2134900				2134900			42.696					1059700
	ANIL NAHATA			30000)		30000	0.600	3000	0	30000	0.600)	0.600					
	AVINASH NAHATA			30000			30000		3000		30000			0.600					
	BALCHAND SINGHI			203400			203400				203400			4.068					
	HATHI MAL NAHATA			80100			80100		8010		80100			1.602					
	KAMAL SINGHI	AMIPS2603P		50100			50100				50100			1.002					50000
	MADHU SINGHI	ALSPS5065L		50000)		50000	1.000	5000	0	50000	1.000)	1.000					50000
	PRAKASH CHAND NAHATA			80000)		80000	1.600	8000	0	80000	1.600)	1.600					
	RAJ KUMAR NAHATA	ABMPN8448E		693400			693400		69340		693400			13.867					235800
	SARIKA NAHATA	ABQPN3099M		30000			30000				30000			0.600					30000
	SAROJ DEVI NAHATA			30000			30000				30000			0.600					
	SHESH KARAN SINGHI	AKLPS6473J		693900			693900		69390		693900			13.877					693900
	SUMAN NAHATA			50000			50000				50000			1.000					
	SUMAN SINGHI			114000)		114000	2.280	11400	0	114000	2.280)	2.280					
(c)	Central Government/State Gov rnment(s) Financial Institutions/Banks Any Other	e																	
	Bodies Corporate		2	68000			68000				68000			1.360					
	RACHYITA INVESTMENT PVT. LTD			48000			48000		4800		48000			0.960					
	SAMRAT MERCANTILE PVT. LTD. Trusts Clearing Members			20000			20000				20000			0.400					
	Sub-Total (A) (1)		15				2202900		220290		2202900			44.056					1059700
(a) (b) (c) (d) (e)	Foreign Individuals (Non-Resident Inividuals/Foreign Individuals Government Institutions Foreign Portfolio Investor Any Other OCBs)																	

Sub-Total (A) (2)

Total Shareholding of Promote r and Promoter Group (A) = (A) (1) + (A) (2)	15	2202900	2202900	44.056	2202900	2202900	44.056	44.056	1059700

TABLE III - STATEMENT SHOWING SHAREHOLDINGPATTERN OF THE PUBLIC SHAREHOLDER

Cate gory 	Name of shareholders		Sharehol	Paid-up	Paid-up	No.of Shares Underlying Depository	Shares	Shareholdin Calculated per SCRB,19	as	number of Vo		s held in each IX)	class of securie	Underlying	s Shareholding as % assuming full conversion of	. in s	hares edged o		otherwise	Number of Equity Shares Held in demat
ĺ					shares Held		i i	As a % of (A+B+C2)	į-	No. of	Voting Ri	ghts	1	convertible	convertible sec urities (as a %	:		I		erialized
į			į	i	i i	į	 (VII)=		įc	lass X	Class Y	Total	_' Total as a % of total voting	(Including		İ	of total	İ	of total	
i	(I) (I 		 	i I	(♥) 	I I	(IV) + (V) + (VI)	1	į		i ! !		Rights 	(X)	(XI) = (VII) + (X) as a % of (A) + (B) + (C)	 	held	 	held 	(XIV)
(a) (b) (c) (d) (e) (f) (g) (h) (i)	Institutions Mutual Funds CRB TRUSTEE LTD A/C CRB MUTUA L FUND Venture Capital Funds Alternate Investment Funds Foreign Venture Capital Investors Foreign Fortfolio Investors Financial Institutions/Banks Insurance Companies Provident Funds/Pension Funds Any Other Foreign Institutional Investors cs		2				83500 73400) 1.	670 468	83500 73400		8350 7340i		0	1.670 1.468)				
	Sub-Total (B) (1)		2	83500			83500	1.	670	83500		8350	0 1.67	0	1.670)				
	rnment(s)/President of India Sub-Total (B)(2)																			
(a)	Non-Institutions Individuals i) Individual shareholders h olding nominal share capital up to Rs.2 Lakhs.		3283	1546800			1546800	30.	935	1546800		154680	0 30.93	5	30.935	i				357400
	ii) Individual shareholders h olding nominal share capital in excess of Rs.2 Lakhs.		3	305000			305000	6.	100	305000		30500	0 6.10	0	6.100)				30000
(b) (c) (d)	IN SACESS OF M.S.2 LANIS. RAKHEE SHYAMSUKHA NBFCS registered with RBI Employee Trusts Overseas Depositors (holding DRs) (balancing figure) Any Other			250000			250000	5.	000	250000		25000	5.00	D.	5.000	•				
	Bodies Corporate GDB SHARE & STOCK BROKING SER VICES LTD.		54	861100 200000			861100 200000		221 000	861100 200000		86110 20000			17.221 4.000					208100
	HUNGERFORD CONSULTANTS PRIVAT AA	АВСН9345К		80500			80500		610	80500		8050	0 1.61)	1.610	1				80500
	M H FINANCE AND MERCANTILE PV T LTD			83400			83400		668	83400		8340			1.668					
		AFCP3996C		67500			67500		350	67500		6750			1.350					
	VICCO PRODUCTS BOMBAY PVT LTD AA WELLWORTH MULTYFIN (P) LTD QFI - Individual NRI - Repatriable NRI - Non-Repatriable	AACV4446N		65600 98400			65600 98400		312 968	65600 98400		6560 9840			1.312 1.968					65600

TABLE III - STATEMENT SHOWING SHAREHOLDINGPATTERN OF THE PUBLIC SHAREHOLDER

Cate Name of shareholders gory	PAN 		Paid-up Equity	Paid-up Equity	y No.of Shares Underlying Depository	Shares Held	Shareholding Calculated as per SCRB,1957	i I	(1	X)	class of securies	Underlying outstanding	% assuming full conversion of	(XII)	edged or encumbere	otherwise	Number of Equity Shares Held in demat
I I	!		snares neid	shares Held	Receipt		As a % of (A+B+C2)	I NO. O	f Voting Rig	nts	!		urities (as a %	 Number Asa %		As a %	
i	i	i		i	i	İ	(A+B+C2) 	Class X	Class Y	Total	_ Total as a %	securities (Including	of diluted			of total	
1	I	T.	1	1	1	(VII) =	I	1	1	I	of total voting		share capital)		I	shares	1
(I)	(II)	(III)	(IV)	(V)	(VI)	(IV) + (V) + (VI)	(VIII)	1	1	I	Rights	(X)	(XI) = (VII) + (X)	held	1	held	(XIV)
ļ.	1	1	1	1	1	1	1	1	1	1	1	1	as a % of	I	Į.		1
I	ı	1	1	1	1	1	l	1	ı	ı	1	1	(A) + (B) + (C)	1	1	1	1
OCBs Trusts Clearing Memebers IEPF Authority		5	5 90	0		900	0.01	8 90	0	90	0 0.018	В	0.018				900
Sub-Total (B) (3)		3345	271380	0		2713800	54.27	4 271380	o 	271380	0 54.274	4 	54.274				596400
Total Public Shareholding = (B) (1)+(B) (2)+(B) (3)	(B)	3347	279730	0		2797300	55.94	4 279730	0	279730	0 55.944	4	55.944				596400

TABLE IV - STATEMENT SHOWING SHAREHOLDINGPATTERN OF THE NON PROMOTER - NON PUBLIC SHAREHOLDER

Cate Name of shareholders	PAN		No.of Fully	No.of Partl	y No.of Share		Shareholding		Voting Rights (IX				Shareholding as N % assuming full	umber of Locked in shares	Number of		Number of Equity Shares
j .	i	ders	Equity	Equity	Depository		per SCRB,1957	i					conversion of	(XII)			Held in demat
1	1	1	shares Held	shares Held	Receipt	1	As a % of	No.	of Voting Righ	ts		convertible	convertible sec -		-		erialized
I	1	1	1	1	1	1	(A+B+C2)	1			_1	securities	urities (as a % N	umber As a %	Number	Asa%	form
I	1	1	1	1	1	1	1	Class X	Class Y	Total			of diluted	of tota	1	of total	.
I	1	1	1	1	1	(VII) =	1	1	1	1	of total voting	Warrants)	share capital)	shares	1	shares	1
(I)	(II)	(III)	(IV)	(V)	(VI)	(IV) + (V) + (VI)	(VIII)	1	1	1	Rights	(X)	(XI) = (VII) + (X)	held	1	held	(XIV)
I	1	1	1	1	1	1	1	1	1	1	1	1	asa % of		1	1	1
I	I	I	1	1	1	1	1	I	1	1	1	I	(A) + (B) + (C)		1	I	1

(1) Custodian.DR Holder
(2) Employee Benefit Trust (under
SEBI (share based Employee B
enefit) Regulations, 2014

Total Non-Promoter - Non-Publ ic Shareholding (C) = (C)(1) + (C)